

Meeting Title	Board of Directors		
Date	22 July 2021	Agenda item	Bo.7.21.19

Data Security & Protection Toolkit – Ratification of emergency decision

Presented by	Paul Rice, Chief Digital & Information Officer	
Author	Laura Parsons, Associate Director of Corporate Governance/Board Secretary	
Lead Director	Paul Rice, Chief Digital & Information Officer	
Purpose of the paper	To present an emergency decision for ratification	
Action required	For decision	
Previously discussed at/ informed by	N/A	
Previously approved at:	Committee/Group	Date
	N/A	
Background		
In line with the Standing Orders for the Board of Directors, decisions reserved to the Board may in an emergency be exercised by the Chief Executive and the Chairman after having consulted at least two Non-Executive Directors. The exercise of such powers by the Chief Executive and the Chairman must be reported in the next formal meeting of the Board in public session, for ratification.		
Analysis		
Since the last Board meeting, an emergency decision was approved, in order that the DSPT could be submitted by the deadline of 30 June. The decision was approved by the Chair, Deputy Chief Executive (in the Chief Executive’s absence), and two Non Executive Directors (Barrie Senior and Altaf Sadique). The DSPT was submitted on 29 June 2021.		
Further details are included in the attached papers.		
Recommendation		
The Board is asked to ratify the emergency decision to approve the DSPT for submission.		

Meeting Title	Board of Directors		
Date	22 July 2021	Agenda item	Bo.7.21.19

Risk assessment						
Strategic Objective	Appetite (G)					
	Avoid	Minimal	Cautious	Open	Seek	Mature
To provide outstanding care for patients			g			
To deliver our financial plan and key performance targets			g			
To be in the top 20% of NHS employers					g	
To be a continually learning organisation				g		
To collaborate effectively with local and regional partners					g	
The level of risk against each objective should be indicated. Where more than one option is available the level of risk of each option against each element should be indicated by numbering each option and showing numbers in the boxes.	Low		Moderate	High	Significant	
	Risk (*)					
Explanation of variance from Board of Directors Agreed General risk appetite (G)	N/A					

Benchmarking implications (see section 4 for details)	Yes	No	N/A
Is there Model Hospital data relevant to the content of this paper?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Is there any other national benchmarking data relevant to the content of this paper?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Is the Trust an outlier (positive or negative) for any benchmarking data relevant to the content of this paper?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Risk Implications (see section 5 for details)	Yes	No
Corporate Risk register and/or Board Assurance Framework Amendments	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Quality implications	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Resource implications	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legal/regulatory implications	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Diversity and Inclusion implications	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Performance Implications	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Regulation, Legislation and Compliance relevance
NHS Improvement: (please tick those that are relevant) <input type="checkbox"/> Risk Assessment Framework <input type="checkbox"/> Quality Governance Framework <input checked="" type="checkbox"/> Code of Governance <input type="checkbox"/> Annual Reporting Manual
Care Quality Commission Domain: Well Led
Care Quality Commission Fundamental Standard: Good Governance
NHS Improvement Effective Use of Resources: Choose an item.
Other (please state):

Relevance to other Board of Director's academies: (please select all that apply)			
People	Quality	Finance & Performance	Other (please state)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>